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10/817,040	04/02/2004	Jordan L.K. Schwartz	MS#304627.01 (5458)	2243

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EXAMINER
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SALOMON, PHENUEL S

ART UNIT	PAPER NUMBER
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2178

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12/11/2008

ELECTRONIC

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

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uspatents@senniger.com

<b>Office Action Summary</b>	<b>Application No.</b> 10/817,040	<b>Applicant(s)</b> SCHWARTZ ET AL.	
	<b>Examiner</b> PHENUEL S. SALOMON	<b>Art Unit</b> 2178	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 15 September 2008.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1,3,9,11-14 and 20-22 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1,3,9,11-14 and 20-22 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)          | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____                                      |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)          | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____  | 6) <input type="checkbox"/> Other: _____                          |

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### **DETAILED ACTION**

1. This office action is in response to the amendment filed on September 15, 2008. Claims 1, 9, 12, 20 and 21 are amended; claims 2, 4-8, 10, 15-19 and 23-25 are canceled; and claims 1, 3, 9, 11-14 and 20-22 are pending.
2. The rejections of claims 1, 3, 11, 20, and 22 under 35 U.S.C. 102(b) as being anticipated by Mernyk (US 6,496,206 B1) have been withdrawn pursuant to applicant amendment.
3. The rejections of claims 12-14 and 21 under 35 U.S.C. 103(a) as being unpatentable over Mernyk (US 6,496,206 B1) in view of Sugimoto (US 6,750,890 B1) have been withdrawn pursuant to applicant amendment.
4. The rejections of claim 9 under 35 U.S.C. 103(a) as being unpatentable over Mernyk (US 6,496,206 B1) in view of Kobayashi et al. (US 6,938,215 B2) have been withdrawn pursuant to applicant amendment.

### ***Claim Objections***

5. Claim 9 is objected to because of the following informalities: Claim 9 is dependent from a canceled claim. In order to expedite the examination process, claim 9 is being examined as dependent of claim 1. Appropriate action is required.

***Claim Rejections - 35 USC § 103***

6. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

7. Claims 1, 3, 11, 20, and 22 are rejected under 35 U.S.C. 103(a) as being unpatentable over Mernyk (US 6,496,206 B1) in view of Datta (US 6,622,168 B1).

Claim 1: Mernyk discloses a method comprising:

sensing the presence of an indicator in a vicinity of an icon having associated thumbnail data representative of content of an associated object (col. 4, lines 12-15), wherein said icon and a plurality of additional icons are located within a viewable interface, each of the additional icons having associated thumbnail data representative of content of an associated object (col. 4, lines 15-25);

rendering a superimposed view of at least a portion of the thumbnail data, the superimposed view rendered in the vicinity of the icon (fig. 2);

in response to said sensing, based on the locations within the window of the plurality of additional icons relative to said icon (fig. 2), but does not explicitly disclose identifying a predetermined number of the plurality of additional icons; and

in response to said identifying, pre-caching thumbnail data only for the additional icons.

However Datta discloses a profile server that tagged components and a preloader that caches components

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that are likely to be accessed by the user (col. 3, lines 18-25). Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to include most likely components to be cached in Mernyk. One would have been motivated to do so in order to maintain scalability of memory usage while enabling user to quickly identify the basic contents of large number of files identified as icon.

Claim 3: Mernyk and Datta disclose the method according to claim 2 above, Mernyk further discloses wherein pre-caching the thumbnail data includes storing the thumbnail data in volatile memory (col. 5, lines 31-35).

Claim 11: Mernyk and Datta disclose the method according to claim 1 above, Mernyk further discloses wherein the viewable interface is a window and the superimposed view rendered is rendered within the window (fig. 2).

Claim 20: Mernyk discloses a computer-readable storage medium having instructions stored thereon that direct a computing system to:

sense the presence of an indicator in a vicinity of an icon having associated thumbnail data representative of content of an associated object (col. 4, lines 12-15), wherein said icon and a plurality of additional icons are located within a viewable interface, each of the additional icons having associated thumbnail data representative of content of an associated object (col. 4, lines 15-25);

render a superimposed view of at least a portion of the thumbnail data, the superimposed view rendered in the vicinity of the icon (fig. 2);

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in response to said sensing, based on the locations within the window of the plurality of additional icons relative to said icon (fig. 2), but does not explicitly disclose identify a predetermined number of the plurality of additional icons; and

in response to said identifying, pre-cache thumbnail data only for the additional icons. However Datta discloses a profile server that tagged components and a preloader that caches components that are likely to be accessed by the user (col. 3, lines 18-25). Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to include most likely components to be cached in Mernyk. One would have been motivated to do so in order to maintain scalability of memory usage while enabling user to quickly identify the basic contents of large number of files identified as icon.

Claim 22: Mernyk and Datta disclose the method of claim 1 above, Mernyk further discloses wherein the icon for which the pre- cached thumbnail data is displayed and the displayed thumbnail data are different (col. 6, lines 15-22) [thumbnail data of the remaining pages in the folder are different than the displayed folder].

8. Claims 12-14 and 21 are rejected under 35 U.S.C. 103(a) as being unpatentable over Mernyk (US 6,496,206 B1) in view of Sugimoto (US 6,750,890 B1) and further in view of Datta (US 6,622,168 B1).

Claim 12: Mernyk discloses a method for displaying thumbnail data associated with at least one of a plurality of icons located in a window, each of said plurality of icons having thumbnail data associated therewith, said method comprising:

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comparing the total number of icons located in the window to the pre-determined maximum number of icons (fig. 2) [pre-determined maximum number could be one or the total number of icons];

displaying the pre-cached thumbnail data associated with one of the plurality of icons when an indicator is hovered substantially over the said icon (col. 2, lines 67-69); and

pre-caching the thumbnail data for a particular number of the plurality of icons located in the window based on said comparing (col. 4, lines 40-45), but does not explicitly disclose wherein said particular number is the pre-determined maximum number when said predetermined maximum number is less than or equal to the total number, and wherein said particular number is the total number when the predetermined maximum number is greater than said total number said thumbnail data representative of content of an associated object. However Sugimoto discloses the maximum number of displayable history information may be greater than the number of history information which can be displayed in the auxiliary region and the maximum number of displayable history information is equal to the number of history information which can be displayed in the auxiliary region (col. 34, lines 6-12). Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to include Sugimoto's features in Mernyk. One would have been motivated to do so in order to maintain economy of memory usage while enabling user to quickly identify the basic contents of large number of files identified as icon. But do not explicitly disclose:

identifying a predetermined maximum number of icons for which thumbnail data will be pre-cached, said predetermined maximum number being independent of the total number of icons located in the window. However Datta discloses a profile server that tagged components and a preloader that caches components that are likely to be accessed by the user (col. 3, lines 18-25).

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Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to include most likely components to be cached in Mernyk. One would have been motivated to do so in order to maintain scalability of memory usage while enabling user to quickly identify the basic contents of large number of files identified as icon.

Claim 13: Mernyk Sugimoto and Datta disclose the method according to claim 12 above, Mernyk further discloses the pre-cached thumbnail data is available for substantially instantaneous rendering at the moment the indicator is hovered substantially over one of the plurality of icons (col. 4, lines 26-28).

Claim 14: Mernyk Sugimoto and Datta disclose the method according to claim 13 above, Mernyk further discloses the thumbnail data is pre-cached in volatile memory (col. 5, lines 31-35).

Claim 21: Mernyk discloses a computer-readable storage medium having instructions stored thereon for displaying thumbnail data associated with at least one of a plurality of icons located in a window, each of said plurality of icons having thumbnail data associated therewith, said instructions directing a computing system to:

compare the total number of icons located in the window to the pre-determined maximum number of icons (fig. 2) [pre-determined maximum number could be one or the total number of icons];

display the pre-cached thumbnail data associated with one of the plurality of icons when an indicator is hovered substantially over the said icon (col. 2, lines 67-69); and



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pre-cache the thumbnail data for a particular number of the plurality of icons located in the window based on said comparing (col. 4, lines 40-45), but does not explicitly disclose wherein said particular number is the pre-determined maximum number when said predetermined maximum number is greater than the total number, and wherein said particular number is the total number when the predetermined maximum number is less than or equal to said total number said thumbnail data representative of content of an associated object. However Sugimoto discloses the maximum number of displayable history information may be greater than the number of history information which can be displayed in the auxiliary region and the maximum number of displayable history information is equal to the number of history information which can be displayed in the auxiliary region (col. 4, lines 6-12). Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to include Sugimoto's features in Mernyk. One would have been motivated to do so in order to maintain economy of memory usage while enabling user to quickly identify the basic contents of large number of files identified as icon. But do not explicitly disclose:

identifying a predetermined maximum number of icons for which thumbnail data will be pre-cached, said predetermined maximum number being independent of the total number of icons located in the window. However Datta discloses a profile server that tagged components and a preloader that caches components that are likely to be accessed by the user (col. 3, lines 18-25). Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to include most likely components to be cached in Mernyk. One would have been motivated to do so in order to maintain scalability of memory usage while enabling user to quickly identify the basic contents of large number of files identified as icon.

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9. Claim 9 is rejected under 35 U.S.C. 103(a) as being unpatentable over Mernyk (US 6,496,206 B1) in view of Datta (US 6,622,168 B1) and further in view of Kobayashi et al. (US 6,938,215 B2).

Claim 9: Mernyk and Datta disclose the method of claim 8 above, wherein the predetermined number of icons is greater than one icon located within the viewable interface (fig. 2) but did not explicitly disclose less than the total number of the plurality of additional icons. However Kobayashi discloses the amount of displayable files in one screen is less than the total number of files displayed in the icon browser screen (col. 5, lines 58-64). Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to include Sugimoto's icon displayed in Mernyk. One would have been motivated to do so in order to maintain economy of memory usage while enabling user to quickly identify the basic contents of large number of files identified as icon.

### ***Response to Arguments***

10. Applicant's arguments filed on 09/15/2008 have been fully considered but they are not persuasive and they are moot in view of new ground(s) of rejection.

### ***Conclusion***

11. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

a. Kanevsky et al. (US 7,003,736 B2) discloses iconic representation of context.

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b. Jaaskelainen, Jr. (US 5,835,088) discloses method and apparatus for providing programmable window-to-window focus change within a data processing system using GUI.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Phenuel S. Salomon whose telephone number is (571) 270-1699. The examiner can normally be reached on Mon-Fri 7:00 A.M. to 4:00 P.M. (Alternate Friday Off) EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Stephen Hong can be reached on (571) 272 4124. The fax phone number for the organization where this application or proceeding is assigned is 571-273-3800.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

PSS

12/05/2008

/Stephen S. Hong/

Supervisory Patent Examiner, Art Unit 2178